

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/925,324 | 08/10/2001 | Hidekazu Kobayashi | 110371 |

CONFIRMATION NO. 4772

FORMALITIES LETTER



OC000000006570474

 25944
 OLIFF & BERRIDGE, PLC
 277 S. WASHINGTON STREET, SUITE 500
 ALEXANDRIA, VA 22314

Date Mailed: 09/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center
 Initial Patent Examination Division (703) 308-1202
 PART 2 - COPY TO BE RETURNED WITH RESPONSE

 11/20/2001 NGBREIN 00000032 09925324
 168.00 OP
 130.00 OP
 130.00 OP
 01 FC:102
 02 FC:105
 03 FC:139

T06TTF 42E52660



Receipt #4
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Attn: Box Missing Parts

Hidekazu KOBAYASHI

Application No.: 09/925,324

Filed: August 10, 2001

Docket No.: 110371

For: METHOD FOR MANUFACTURING ORGANIC EL DEVICE, ORGANIC EL
DEVICE, AND ELECTRONIC APPARATUS


REQUEST FOR CORRECTION OF PALM RECORDS

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,



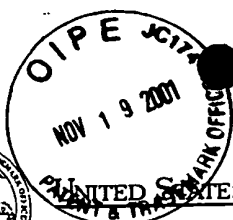
James A. Oliff
Registration N27,075.

John S. Kern
Registration No. 42,719

Date: November 19, 2001

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

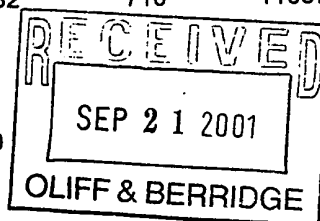
| |
|--|
| DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461 |
|--|



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/925,324 | 08/10/2001 | 2832 | 710 | 110371 | 4 | 17 | 15 |

 25944
 OLIFF & BERRIDGE, PLC
 277 S. WASHINGTON STREET, SUITE 500
 ALEXANDRIA, VA 22314


CONFIRMATION NO. 4772

FILING RECEIPT



OC000000006570473

Date Mailed: 09/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Hidekazu Kobayashi, ~~Suwa-shi~~, JAPAN;
 Hara-mura, Nagano-ken

Assignment For Published Patent Application

 SEIKO EPSON CORPORATION, ~~Shinjuku-ku~~, ^{Tokyo} JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

 JAPAN 2000-244589 08/11/2000
 JAPAN 2001-244852 08/10/2001

If Required, Foreign Filing License Granted 09/17/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

 Method of manufacturing organic EL apparatus, organic EL apparatus, and electronic device
 for Device Device Apparatus

Preliminary Class



#3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Attn: Box Missing Parts

Hidekazu KOBAYASHI

Application No.: 09/925,324

Filed: August 10, 2001

Docket No.: 110371

For: METHOD FOR MANUFACTURING ORGANIC EL DEVICE, ORGANIC EL DEVICE,
AND ELECTRONIC APPARATUS**RESPONSE TO NOTICE TO FILE MISSING PARTS
WITH DECLARATION AND TRANSLATION**Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) mailed on September 18, 2001, submitted herewith are the executed Declaration of the inventor and, upon information and belief, an accurate translation of the application. Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application. Also, submitted herewith are 7 sheets of drawings (Figs 1-9) in compliance with 37 C.F.R. 1.84.

| | (Column 1) | (Column 2) | (Column 3) | SMALL ENTITY | | OTHER THAN A SMALL ENTITY | | |
|--|------------------------------------|---------------------------------|---------------|--------------|-----------|---------------------------|------|-----------|
| | CLAIMS REMAINING AFTER TRANSLATION | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADD'L FEE | OR | RATE | ADD'L FEE |
| TOTAL CLAIMS | *7 MINUS | **20 | = | x 9 | \$ | | x 18 | \$ |
| INDEP CLAIMS | *5 MINUS | ***3 | =2 | x 42 | \$ | | x 84 | \$ |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | + 140 | \$ | OR | +280 | \$ |
| | | | | | \$ | | | \$ 168 |

- ☒ Check No. 125034 in the amount of \$168 is attached. The Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

- * If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

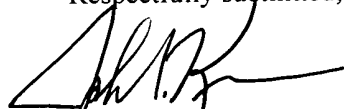
The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

Attached is our Check No. 125035 for ☒\$260.00 ☐\$195.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.16(e) and §1.17(k) and any excess claim fees noted above.

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notice to File Missing Parts. Examination and allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,



James A. Oliff
Registration No. 27,075

John S. Kern
Registration No. 42,719

JAO:JSK/kap

Date: November 19, 2001

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

| |
|--|
| DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461 |
|--|